

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.: 09792909-5742	
	First Named Inventor: OHTA, Eiji	
	Title:	IC CARD
	Express Mail Label No.	EV342109418US
Date of Deposit:		December 1, 2003

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. ☒ This Form includes the Fee Transmittal (See Box 19)  
*(Submit an original and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification [Total Pages 43]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 6]
5. ☒ Declaration and Power of Attorney *(unexecuted)* [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R in duplicate; or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers *(cover sheet & document(s))*
10. ☒ Letter under 37 CFR 1.41(c)
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☒ Certified copy of Japanese Priority Document:  
 Document No. JP2002-349983, filed on December 2, 2002.
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
 Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application no.: \_\_\_\_/\_\_\_\_  
 Prior application information:    Examiner Name: \_\_\_\_    Group Art Unit: \_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. FEE CALCULATIONS:**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
	TOTAL CLAIMS	16		\$18.00	\$0
	INDEPENDENT CLAIMS	2		\$86.00	\$0
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		\$145.00	\$0
				SUBTOTAL	\$770.00

- a. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. **A duplicate copy of this sheet is enclosed.**
- b. ☒ The enclosed credit card payment form to charge the amount of \$770.00 covers the total claim fee.

**20. ☒ CUSTOMER NO. 26263**

Dated: December 1, 2003

SIGNATURE: David R. Metzger  
 David R. Metzger, (Reg. No. 32,919)

22387 U.S. PTO  
 10/725073



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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: December 1, 2003

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450

Applicant(s): Eiji OHTA

Attorney Docket No. 09792909-5742

Transmittal (1 page - in duplicate)

Credit Card Payment Form (1 page - in duplicate)

Rule 37 Letter (1 page)

Declaration and POA - unexecuted (3 pages)


Preliminary Amendment (3 pages)

Specification (43 pages); 16 claims

Drawings (6 pages - Figs 1-10)

Certified Copy of Japanese Priority Document No. 2002-349983

Return Receipt postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

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December 1, 2003

Mail Stop Patent Application  
Comm. For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: New U.S. Application for Letters Patent entitled  
"IC CARD"  
Applicant(s) OHTA, Eiji et al.  
Attorney Docket No. 09792909-5742

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 16 claims (2 independent), 6 sheets of drawings (Figs.1-10) and the filing fee of \$770.00 on behalf of

Eiji Ohta and Shinichi Matsumura

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL LLP

By:



David R. Metzger

Enclosures